Understanding the refugee definition

Protection Training Manual for European Border and Entry Officials

Session 3

A person is a refugee as soon as he or she fulfils the criteria contained in the 1951 Convention

- Recognition of refugee status is a declaratory act
- Refugee status determination procedures in each country for ASYLUM SEEKERS
- All refugees can be asylum seekers, while only some asylum seekers are refugees.
- EU legislation:
 - Qualification Directive
 - Asylum procedures Directive

The role of border guards

- In general, national legislation **DOES NOT** foresee any refugee status determination role for border guards
- Border guards often have THE KEY to access asylum procedures
- Refugees often ignore their right to international protection
- Border guards have a **DUTY** to understand:
 - Who is a refugee
 - The circumstances in which refugees try to access international protection (incl. in smuggling & trafficking networks)

The role of UNHCR

- UNHCR has a responsibility to SUPERVISE the application of the 1951 Convention on the Status of Refugees (Art. 35 of 1951 Conv. & Para. 8 of UNHCR Statute)
- UNHCR conducts RSD some times:
 - To ascertain whether a person is a refugee and is therefore entitled to international protection
 - To decide on the **resettlement** of refugees to another country
 - To give governments advice on refugee status of individuals within their territory

The five elements of the refugee definition

- 1. Outside the country of nationality / former habitual residence
- 2. Well-founded fear
- 3. Persecution
- 4. Grounds (race, religion, nationality, membership of a particular social group, or political opinion)
- 5. Unable or unwilling to seek that country's protection OR TO RETURN there.

The subjective element: FEAR

- A state of mind
- Fear does not have to be explicitly stated:
 - An expression of unwillingness to return already signals some kind of fear
 - Sometimes objective conditions in country of origin can imply fear: i.e. if there is a clear risk of persecution
 - Applying for asylum also presupposes the existence of fear

The objective element: WELL-FOUNDEDNESS

- The context of the situation in the applicant's country of origin:
 COI (country of origin information)
- In light of his / her personal circumstances:
- Profile
- Background
- Experiences
- Credibility assessment
- No need to prove fear "beyond reasonable doubt"

Persecution

- A threat to life or physical freedom as well as to the enjoyment of fundamental rights:
- Not every situation of discrimination re. Enjoyment of rights is persecution
- Serious violations of non-derogable rights
- Cumulative grounds: **combined effect** of discriminatory measures which, if taken separately, would not amount to persecution.

GROUNDS of persecution

- Race
- Religion
- Nationality
- Membership of a particular social group
- Political opinion

GENDER may seriously affect the reasons and the form of persecution

- Since mid-1980's the effect of gender on persecution is broadly acknowledged:
 - **Forms of persecution** (sexual violence and rape of men and women, female genital mutilation, forced marriage, trafficking –such as for forced prostitution, discriminatory laws or practices
 - Reasons for presecution (homosexuals in restrictive communities, women who fail to adhere to specific codes of behaviour, etc)

Some individuals would meet initial criteria but DO NOT DESERVE recognition as refugees

- Conditions spelled out in the 1951 Convention:
 "exclusion clauses":
- Crimes against peace
- War crimes
- Crimes against humanity
- Non political crimes outside country of refuge prior to admission to that country
- Acts contrary to the purposes and principles of the UN
- To be interpreted RESTRICTIVELY & to consider:
- Individual responsibility
- Proportionalty