

GOVERNMENT AND UNHCR REFUGEE STATUS DETERMINATION

INTRODUCTION

Although the 1951 Convention does not establish procedures for determining refugee status as such, fair and efficient procedures are an essential element in the full and inclusive application of the Convention. In a number of countries, UNHCR co-operates closely with governments in the determination of refugee status. This chapter presents a global overview of refugee status determination in 2001 and analyses UNHCR involvement in the process. Asylum patterns and trends in industrialised countries are discussed separately in Chapter IV.

CURRENT SITUATION

Global overview

In 2001, UNHCR received asylum and refugee status determination data from 144 countries. In 65 countries (most of which were developing countries), UNHCR was involved in carrying out refugee status determination in close co-operation with the Government or under its mandate.

Altogether, asylum countries received 915,000 new or reconsidered requests for refugee status in 2001. During the year, 932,000 cases were decided, of which 168,000 resulted in refugee status, 78,000 persons were allowed to remain for humanitarian reasons, whereas 445,000 cases were rejected. In total, the refugee recognition rate amounted to 24 per cent. When asylum-seekers allowed to remain on humanitarian grounds are included, the recognition rate increases to 36 per cent. Some 242,000 cases were closed for other, non-substantive, reasons. The number of asylum applicants awaiting a decision increased by 4 per cent, from 902,000 on 1 January 2001 to 940,000 on 31 December 2001 (see Table III.1., page 50).

In some non-industrialised countries, ad hoc refugee screening programmes are carried out to identify individuals who are in genuine need of protection. The results of these programmes, which may be carried out during mass inflows, in

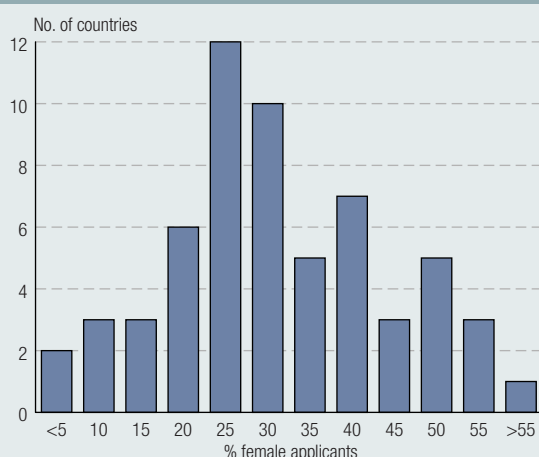
more stable situations or when most *prima facie* refugees have repatriated, are also included here and account for some of the higher figures reported.

The 10 largest countries of origin of asylum-seekers in 2001 were Afghanistan (66,800), Iraq (60,800), Turkey (41,300), FR Yugoslavia (40,800), China (33,500), DR Congo (33,300), Colombia (26,400), Russian Federation (25,300), Somalia (23,900) and the Islamic Republic of Iran (23,600). Together, these 10 nationalities accounted for 41 per cent of all applications submitted in 2001. Asylum-seekers who were granted refugee or humanitarian status originated mostly from Afghanistan (32,100), Iraq (26,200), FR Yugoslavia (16,800), DR Congo (14,300), Sri Lanka (14,000) and Somalia (11,500) (see Table III.2, page 54).

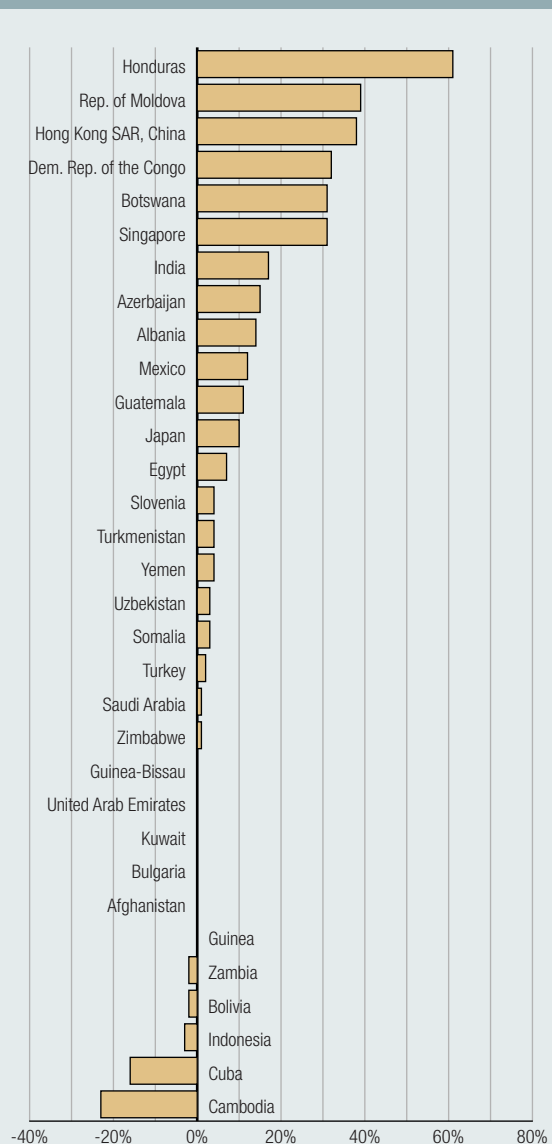
Recognition rates indicate the proportion of accepted refugee claims during a particular period. Highly different recognition rates with regard to the same nationality could point to different standards of treatment between asylum countries. In countries aiming to harmonise their asylum policies, recognition rates might be expected to converge over time. Yet, the meaning of recognition rates in comparing country practices should not be over-emphasised: asylum-seekers of the same nationality may have a different regional or ethnic origin, they may have fled for different reasons and may have left at different dates. Divergent recognition rates for the same nationality during the same period may well be explained when the detailed profile of the individual claimant is taken into account.

The 2001 data indicates a significant variation of recognition rates among the main nationalities seeking asylum. For instance, the recognition rate, including persons allowed to stay on humanitarian grounds, amounted to 76 per cent for Afghans, 62 per cent for citizens of DR Congo and 50 per cent for Iraqis. The recognition rate for Turkish and Russian asylum-seekers was less than 25 per cent. Some nationalities are granted

**CHART III.1. THE GENDER GAP [1]:
REFUGEE CLAIMS, 2001**



**CHART III.2. THE GENDER GAP [2]:
REFUGEE RECOGNITION, 2001**



principally refugee status under the 1951 Convention (e.g. Chinese, Colombians, Iranians), whereas others are most often allowed to remain on the basis of humanitarian considerations (e.g. citizens from Bosnia and Herzegovina and FR Yugoslavia, Liberians, Sri Lankans). These differences partly reflect the existence of various refugee protection categories in the countries where these nationals have sought asylum.

Refugee claimants are overwhelmingly male. In the 59 countries or UNHCR offices providing gender-disaggregated data for 2001, 71 per cent of those who applied for refugee status were men. In industrialised countries, the proportion of female asylum-seekers is often less than one-third. Data from 34 UNHCR country offices suggests that the proportion of female claimants is slightly higher in countries where the Office works with the Government than in industrialised countries (see Chart III.1).

Although fewer women than men request refugee status, their chance of having their claim approved is greater. Data from 32 countries covering 35,000 status decisions indicates that the refugee recognition rate for male refugees was 68 per cent, whereas it amounted to 76 per cent for women. The recognition rate for women was greater than for men in 21 countries, in six countries the rate was equal, whereas in five countries male applications had a higher chance of being accepted as refugees (see Chart III.2).

UNHCR procedures

In the 65 countries where UNHCR was closely involved in refugee status determination, the number of applicants in 2001 fell from 88,400 in 2000 to 77,100 in 2001 (-13%). Offices in 36 countries recorded an increase in annual claims, whereas 29 offices reported a fall in new claims. As total decisions (68,100) lagged behind new applications, the number of claimants waiting for a decision increased by nine per cent, from 77,200 to 84,400. The highest number of undecided claims at the end of 2001 was reported by the offices in Egypt (15,700), Kenya (12,600), Burundi

This chart shows the difference between the male and the female recognition rate in selected countries. A positive value indicates that the female recognition rate was greater than the male recognition rate.

Chart III.3. UNHCR refugee status determination, 2000-2001

The country is listed if the total number of decisions taken in 2000-2001 was 500 or above.

Country	Applied			Recognized			Pending end-year			Recognition rate*	
	2000	2001	Change	2000	2001	Change	2000	2001	Change	2000	2001
Azerbaijan	3,528	3,539	0%	352	295	-16%	3,376	6,551	94%	81%	82%
Bosnia and Herzegovina	262	732	179%	23	36	57%	80	386	383%	66%	30%
Cameroon	1,353	1,709	26%	28	629	>1,000%	1,638	2,577	57%	9%	82%
Congo	959	3,365	251%	53	1,691	>1,000%	879	2,447	178%	32%	94%
Cyprus	651	1,766	171%	39	36	-8%	499	1,860	273%	15%	11%
Dem Rep. of the Congo	296	654	121%	115	61	-47%	144	308	114%	50%	24%
Egypt	15,176	13,176	-13%	3,380	4,137	22%	11,179	15,655	40%	39%	48%
India	819	921	12%	391	546	40%	59	207	251%	52%	71%
Indonesia	1,039	1,304	26%	423	139	-67%	373	806	116%	85%	83%
Iraq	1,287	580	-55%	344	346	1%	857	393	-54%	76%	53%
Israel	6,148	456	-93%	8	78	875%	422	563	33%	26%	28%
Jordan	7,259	4,609	-37%	1,912	1,928	1%	7,872	5,297	-33%	37%	42%
Kenya	8,568	12,011	40%	2,466	3,962	61%	8,713	12,595	45%	45%	53%
Kuwait	699	281	-60%	370	108	-71%	56	80	43%	53%	44%
Lebanon	4,112	2,312	-44%	767	725	-5%	6,023	3,680	-39%	29%	22%
Malaysia	453	964	113%	111	105	-5%	24	252	950%	29%	17%
Mexico	277	415	50%	78	142	82%	11	12	9%	33%	46%
Pakistan	1,088	1,050	-3%	387	155	-60%	799	580	-27%	54%	13%
Rep. of Moldova	335	251	-25%	61	144	136%	198	113	-43%	79%	61%
Rwanda	429	4,829	1026%	-	4,825	..	1,712	1,716	0%	..	100%
Saudi Arabia	292	305	4%	31	8	-74%	171	234	37%	18%	5%
Sierra Leone	436	1	-100%	3	722	>1,000%	1,277	274	-79%	100%	83%
Sudan	3,324	10	-100%	1	293	>1,000%	3,200	4	-100%	1%	9%
Syrian Arab Rep.	4,344	1,774	-59%	972	267	-73%	1,326	605	-54%	27%	15%
Thailand	1,081	1,453	34%	397	650	64%	361	343	-5%	51%	55%
Turkey	5,685	5,041	-11%	2,716	2,869	6%	5,209	4,176	-20%	62%	58%
Turkmenistan	347	658	90%	146	210	44%	569	491	-14%	55%	52%
Uganda	2,688	1,721	-36%	366	3,237	784%	2,473	627	-75%	92%	99%
Uzbekistan	2,178	2,129	-2%	749	1,582	111%	1,248	1,344	8%	86%	86%
Yemen	1,784	2,322	30%	82	230	180%	1,411	2,361	67%	14%	34%
Total	76,897	70,338	-9%	16,771	30,156	80%	62,159	66,537	7%	44%	55%

* Number of cases granted refugee status divided by the total number of cases granted refugee status and those rejected.

(8,000), Azerbaijan (6,600), Jordan (5,300) and Turkey (4,200).

Statistics from 34 UNHCR country offices covering 27,700 applications indicate that females lodged one-third of all claims in 2001. Among offices receiving a sizeable number of claims, the proportion of female claimants varied between less than one-quarter in Indonesia (14%) and Cambodia (21%) to one-third or more in Egypt (33%), Cyprus (37%), Azerbaijan (39%) and Uzbekistan (41%).

The recognition rate ranged from less than 10 per cent in Saudi Arabia to more than 90 per cent in the Republic of the Congo, Rwanda and Uganda.

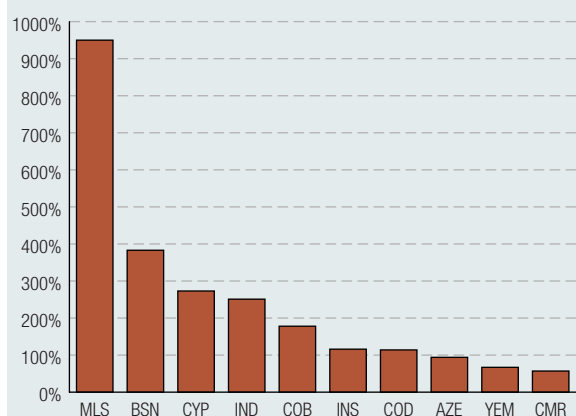
The annual change in the number of undecided cases is an important indicator to track efficiency in determining refugee status. A rise in the

backlog of applications often indicates an increased waiting period for applicants as more applications are being submitted than decided. Of the UNHCR country offices deciding more than 500 cases, a strong rise in undecided applications in 2001 was reported for Malaysia (950%), Bosnia and Herzegovina (383%), Cyprus (273%), India (251%), the Republic of the Congo (178%), Indonesia (116%) and DR Congo (114%) (see Chart III.4).

A significant fall in backlog cases was reported for Iraq (-54%), the Syrian Arab Republic (-54%), Uganda (-75%), Sierra Leone (-79%) and Sudan (100%). Generally, however, these declines resulted from a sometimes sharp decline in new claims rather than from increased decision-making (see Chart III.5).

The majority of positive decisions by UNHCR offices concern a limited number of nationalities.

CHART III.4. UNHCR REFUGEE STATUS DETERMINATION: TEN MAIN BACKLOG INCREASES, 2001



In 2001, 10 nationalities accounted for 93 per cent of all positive decisions. Among the 30,600 recognised cases in 2001, the leading countries of origin were DR Congo (7,600), Sudan (4,500), Iraq (3,400), the Islamic Republic of Iran (2,800), Ethiopia (2,700), Afghanistan (2,600), Central African Republic (1,900), Somalia (1,500), Liberia (750) and Myanmar (640). As might be expected, some of these nationalities are also the main beneficiaries of resettlement in 2001.

TRENDS

Global overview

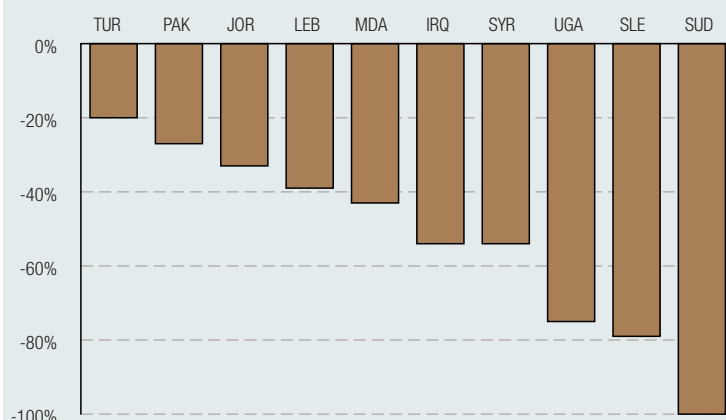
Data available since 1997 suggests that the total number of refugee status applications in non-industrialised countries is not increasing. Indeed, the number of applications fell from 169,000 in 1998 to 141,000 in 2001 (Annex B.1).

UNHCR procedures

Data gathered from 55 UNHCR country offices indicates that the number of applications almost doubled in five years, from 36,100 in 1997 to 67,700 in 2001. Since 1997, these offices have received 285,800 claims, two-thirds of which were processed by UNHCR country offices in Kenya (15%), Egypt (15%), Jordan (12%), Turkey (10%), the Syrian Arab Republic (7%) and Lebanon (5%).

UNHCR offices are experiencing increasing difficulties in processing new claims. The number of undecided cases quadrupled from 16,400 in 1997

CHART III.5. UNHCR REFUGEE STATUS DETERMINATION: TEN MAIN BACKLOG DECREASES, 2001



to 71,400 in 2001, while the number of offices with more than 500 cases pending doubled to 18 from 1999 to 2001. This suggests that the waiting time for refugee claimants has increased significantly in many UNHCR offices. Considering that the number of undecided cases has progressed much faster than the number of new applications, the efficiency of UNHCR offices in processing refugee claims appears to have fallen in the past few years. In 2001, however, the number of pending cases stabilised, often as a result of fewer applications (see Chart III.6).

CHART III.6. REFUGEE STATUS DETERMINATION IN SIX MAJOR UNHCR OFFICES, 1997-2001 (1997 = 100)

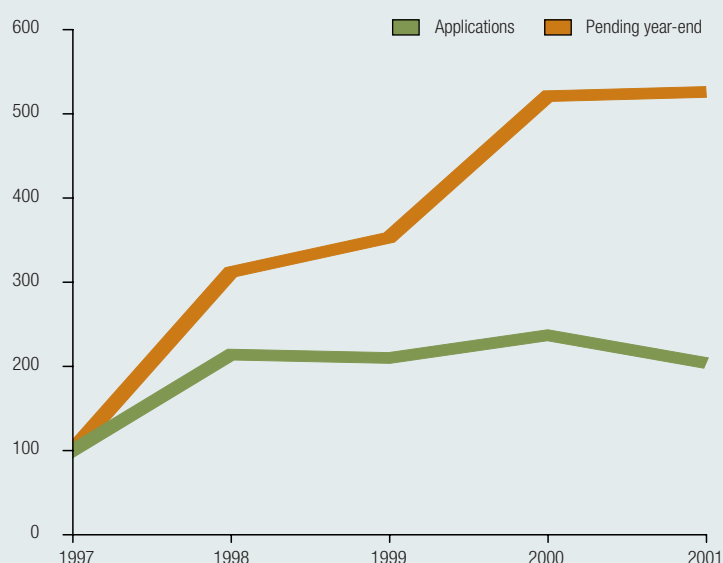


Table III.1 Applications, status determination and cases pending by country or territory of asylum, 2001

See footnotes at the end of the table

Country or territory of asylum	T ¹	L ²	Cases pending at the beginning of the year	Applied since 1 Jan.	Decisions since 1 January					Cases pending at the end of the year	Indicators		
					Recognized	Humanitarian	Rejected	Otherw. closed	Total		Recognition rates		Change in pending cases
											Ref. status ³	Total ⁴	
Afghanistan	U		-	131	24	-	81	26	131	-	23%	23%	..
Albania	G		4	160	9	18	9	57	93	71	25%	75%	1675%
Algeria	U		310	28	32	-	208	25	265	73	13%	13%	-76%
Angola	U		883	167	41	-	100	7	148	902	29%	29%	2%
Argentina	G		1,274	495	55	-	94	77	226	1,543	37%	37%	21%
Armenia	G		-	11	2	-	6	2	10	1	25%	25%	..
Australia	G	AR	8,278	6,924	1,100	-	5,563	515	7,178	7,927	17%	17%	-4%
Australia	G	FI	4,921	12,366	3,364	-	7,698	452	11,514	5,743	30%	30%	17%
Austria	G		9,945	30,127	1,152	-	3,840	21,502	26,494	15,187	23%	23%	53%
Azerbaijan	U		3,376	3,539	295	-	65	4	364	6,551	82%	82%	94%
Bahrain	U		2	7	-	-	4	5	9	-	0%	0%	-100%
Bangladesh	U		2	27	3	-	2	3	8	21	60%	60%	950%
Belarus	G		411	215	129	-	53	90	272	354	71%	71%	-14%
Belgium	G	AR	1,240	1,479	259	-	1,594	202	2,055	664	14%	14%	-46%
Belgium	G	FI	-	24,549	898	-	2,486	-	3,384	-	27%	27%	..
Belize	G		35	38	-	-	-	51	51	22	-37%
Benin	G		1,994	1,051	628	-	1,793	398	2,819	226	26%	26%	-89%
Bolivia	G		3	20	1	-	11	3	15	8	8%	8%	167%
Bosnia and Herzegovina	U		80	732	36	-	83	307	426	386	30%	30%	383%
Botswana	G		67	738	35	-	49	-	84	721	42%	42%	976%
Brazil	G		543	509	103	90	187	25	405	647	27%	51%	19%
Bulgaria	G		1,316	2,428	385	1,185	633	37	2,240	1,504	17%	71%	14%
Burkina Faso	G		314	76	-	-	140	-	140	250	0%	0%	-20%
Burundi	V		5,999	2,062	13	-	-	54	67	7,994	100%	100%	33%
Cambodia	U		167	1,069	58	-	48	79	185	1,051	55%	55%	529%
Cameroon	U		1,638	1,709	629	-	141	-	770	2,577	82%	82%	57%
Canada	G		30,175	44,038	13,336	-	9,551	5,430	28,317	45,804	58%	58%	52%
Central African Rep.	G		1,735	1,937	-	-	-	-	-	3,672	112%
Chad	G		544	453	213	-	138	-	351	646	61%	61%	19%
Chile	G		69	81	45	5	22	12	84	66	63%	69%	-4%
China	U		12	18	8	-	12	9	29	1	40%	40%	-92%
Colombia	U		12	8	-	-	-	3	3	17	42%
Congo	U		879	3,365	1,691	-	106	-	1,797	2,447	94%	94%	178%
Costa Rica	G		1,476	5,214	2,226	-	1,591	286	4,103	2,587	58%	58%	75%
Côte d'Ivoire	G		2,242	8,372	672	7,544	70	4	8,290	2,324	8%	99%	4%
Croatia	G		19	85	-	-	72	14	86	18	0%	0%	-5%
Croatia	U		5	132	2	-	-	77	79	58	100%	100%	1060%
Cuba	U		13	93	25	-	60	6	91	15	29%	29%	15%
Cyprus	U		499	1,766	36	-	291	78	405	1,860	11%	11%	273%
Czech Rep.	G	AR	406	3,004	8	-	1,001	686	1,695	1,715	1%	1%	322%
Czech Rep.	G	FI	4,625	18,087	75	-	6,032	6,731	12,838	9,874	1%	1%	113%
Dem. Rep. of the Congo	U		144	654	61	226	198	5	490	308	13%	59%	114%
Denmark	G	AR	-	-	163	376	2,061	-	2,600	-	6%	21%	..
Denmark	G	FI	5,200	8,336	1,847	2,711	4,133	-	8,691	-	21%	52%	-100%
Djibouti	G		412	120	9	-	54	-	63	469	14%	14%	14%
East Timor	U		-	10	-	-	2	-	2	8	0%	0%	..
Ecuador	G		150	3,455	443	-	673	17	1,133	2,472	40%	40%	1548%
Egypt	U		11,179	13,176	4,137	63	4,500	-	8,700	15,655	48%	48%	40%
El Salvador	U		3	23	13	-	7	1	21	5	65%	65%	67%

Table III.1 Applications, status determination and cases pending by country or territory of asylum, 2001

(continued)

Country or territory of asylum	T ¹	L ²	Cases pending at the beginning of the year	Applied since 1 Jan.	Decisions since 1 January					Cases pending at the end of the year	Indicators		
					Recognized	Humanitarian	Rejected	Otherw. closed	Total		Recognition rates		Change in pending cases
											Ref. status ³	Total ⁴	
Estonia	G		15	12	-	3	3	1	7	20	0%	50%	33%
Ethiopia	G		38	5	3	-	3	34	40	3	50%	50%	-92%
Finland	G	FI	-	1,651	4	809	646	706	2,165	-	0%	56%	..
France	G		-	-	-	-	-	-	-	34,551
France	G	AR	-	26,140	2,380	-	19,710	-	22,090	-	11%	11%	..
France	G	FI	-	47,291	5,049	-	35,730	-	40,779	-	12%	12%	..
France	G	RA	-	1,369	2,274	-	-	-	2,274	-	100%	100%	..
FYR Macedonia	G		2	195	33	-	69	41	143	54	32%	32%	2600%
Gabon	U		3,036	609	4	-	-	5	9	3,636	100%	100%	20%
Gambia	V		224	-	-	-	-	-	-	224	0%
Germany	G	NA	43,791	88,287	17,547	2,395	54,279	5,492	79,713	56,111	24%	27%	28%
Germany	G	RA	26,475	30,019	5,172	988	1,123	20,197	27,480	29,422	71%	85%	11%
Ghana	G		444	2,070	110	-	7	-	117	2,397	94%	94%	440%
Greece	G		2,379	5,499	147	148	1,047	312	1,654	6,224	11%	22%	162%
Guatemala	U		7	69	18	-	35	14	67	9	34%	34%	29%
Guinea	G		944	1,129	1,187	-	12	-	1,199	874	99%	99%	-7%
Guinea-Bissau	G		43	8,134	7,332	-	-	474	7,806	371	100%	100%	763%
Honduras	G		6	30	9	-	23	-	32	4	28%	28%	-33%
Hong Kong SAR, China	U		51	631	38	7	78	91	214	468	31%	37%	818%
Hungary	G		1,435	9,554	174	290	2,995	5,132	8,591	2,398	5%	13%	67%
Iceland	G	AR	-	-	-	-	6	7	13	-	0%	0%	..
Iceland	G	FI	8	52	-	1	13	29	43	17	0%	7%	113%
India	U		59	921	546	-	227	-	773	207	71%	71%	251%
Indonesia	U		373	1,304	139	1	28	703	871	806	83%	83%	116%
Iraq	U		857	580	346	-	309	389	1,044	393	53%	53%	-54%
Ireland	G	AR	1,921	4,192	478	-	2,008	986	3,472	2,641	19%	19%	37%
Ireland	G	FI	10,127	10,325	456	69	4,532	7,195	12,252	8,200	9%	10%	-19%
Israel	U		422	456	78	1	199	37	315	563	28%	28%	33%
Italy	G		-	9,620	2,102	564	10,553	-	13,219	-	16%	20%	..
Japan	G	AR	79	184	2	-	95	25	122	141	2%	2%	78%
Japan	G	FI	232	353	24	67	279	28	398	187	6%	25%	-19%
Jordan	U		7,872	4,609	1,928	-	2,694	2,562	7,184	5,297	42%	42%	-33%
Kazakhstan	G		-	64	46	-	8	163	217	10	85%	85%	..
Kazakhstan	U		5	22	22	-	3	-	25	2	88%	88%	-60%
Kenya	U		8,713	12,011	3,962	-	3,465	702	8,129	12,595	53%	53%	45%
Kuwait	U		56	281	108	-	139	10	257	80	44%	44%	43%
Kyrgyzstan	G		386	702	162	191	94	171	618	470	36%	79%	22%
Lao People's Dem. Rep.	U		-	2	-	-	2	-	2	-	0%	0%	..
Latvia	G		2	14	1	-	14	-	15	1	7%	7%	-50%
Lebanon	U		6,023	2,312	725	-	2,550	1,380	4,655	3,680	22%	22%	-39%
Liberia	G		-	2	-	-	2	-	2	-	0%	0%	..
Libyan Arab Jamahiriya	U		208	23	7	-	18	188	213	15	28%	28%	-93%
Liechtenstein	G	AR	-	80	-	-	-	-	-	80
Liechtenstein	G	FI	-	112	-	8	36	14	58	-	0%	18%	..
Lithuania	G		66	256	-	-	145	93	238	84	0%	0%	27%
Luxembourg	G		-	686	89	353	1,531	73	2,046	-	5%	22%	..
Malaysia	U		24	964	105	15	499	117	736	252	17%	19%	950%
Mali	G		710	127	27	-	6	-	33	804	82%	82%	13%
Malta	G		13	116	39	24	31	-	94	35	41%	67%	169%

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(continued)

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					Recog-nized	Huma-nitarian	Rejected	Otherw. closed	Total		Recognition rates		Change in pending cases
											Ref. status ³	Total ⁴	
Mauritania	U		44	46	15	-	28	21	64	26	35%	35%	-41%
Mexico	U		11	415	142	-	166	106	414	12	46%	46%	9%
Morocco	U		194	261	3	-	3	-	6	449	50%	50%	131%
Mozambique	G		2,278	3,054	-	-	-	17	17	5,315	133%
Namibia	V		1,101	724	5	-	1	1	7	1,818	83%	83%	65%
Nepal	G		11	44	7	9	23	4	43	12	18%	41%	9%
Netherlands	G	AR	47,740	-	644	2,696	324	29,886	33,550	34,662	18%	91%	-27%
Netherlands	G	FI	25,506	32,579	244	5,161	16,647	15,024	37,076	22,298	1%	25%	-13%
Netherlands	G	JR	11,221	-	-	-	-	-	-	21,590	92%
New Zealand	G	AR	219	-	35	-	528	-	563	493	6%	6%	125%
New Zealand	G	FI	2,118	1,601	467	-	2,028	-	2,495	1,424	19%	19%	-33%
Nicaragua	G		11	13	3	-	-	-	3	21	100%	100%	91%
Niger	U		446	93	24	-	69	-	93	446	26%	26%	0%
Nigeria	G		-	577	94	-	351	-	445	132	21%	21%	..
Norway	G	FI	-	14,782	292	4,036	8,976	-	13,304	-	2%	33%	..
Oman	U		3	20	-	-	-	-	-	23	667%
Pakistan	U		799	1,050	155	-	1,063	51	1,269	580	13%	13%	-27%
Panama	G		95	81	61	-	43	9	113	63	59%	59%	-34%
Paraguay	U		4	15	-	-	-	7	7	12	200%
Peru	G		7	67	4	-	6	1	11	63	40%	40%	800%
Philippines	G		30	24	4	-	1	8	13	41	80%	80%	37%
Poland	G	AR	-	-	20	-	14	-	34	-	59%	59%	..
Poland	G	FI	-	4,506	271	-	2,846	1,820	4,937	-	9%	9%	..
Portugal	G		-	232	7	34	7	130	178	-	15%	85%	..
Qatar	U		4	76	2	40	26	-	68	12	3%	62%	200%
Rep. of Korea	G		46	39	1	-	5	11	17	68	17%	17%	48%
Rep. of Moldova	U		198	251	144	-	91	101	336	113	61%	61%	-43%
Romania	G		-	2,431	83	38	2,232	65	2,418	-	4%	5%	..
Russian Federation	G		691	1,684	134	-	1,509	-	1,643	732	8%	8%	6%
Rwanda	U		1,712	4,829	4,825	-	-	-	4,825	1,716	100%	100%	0%
Saudi Arabia	U		171	305	8	40	150	44	242	234	4%	24%	37%
Senegal	G		1,950	958	18	-	250	-	268	2,640	7%	7%	35%
Sierra Leone	U		1,277	1	722	-	150	132	1,004	274	83%	83%	-79%
Singapore	U		-	37	22	-	9	4	35	2	71%	71%	..
Slovakia	G		387	8,151	18	-	130	5,247	5,393	3,151	12%	12%	714%
Slovenia	G		8,834	1,511	1	24	97	9,918	10,040	305	1%	20%	-97%
Somalia	U		10	193	39	-	104	-	143	60	27%	27%	500%
South Africa	G	AR	9,673	-	-	-	3,116	-	3,116	6,557	0%	0%	-32%
South Africa	G	FI	15,138	4,294	3,597	-	9,673	1,302	14,572	4,860	27%	27%	-68%
Spain	G		-	9,489	314	266	1,989	6,830	9,399	-	12%	23%	..
Sri Lanka	U		23	29	8	-	6	8	22	30	57%	57%	30%
Sudan	U		-	10	6	-	-	-	6	4	100%	100%	..
Sudan	V		3,200	-	287	-	2,913	-	3,200	-	9%	9%	-100%
Swaziland	G		348	138	32	-	93	-	125	361	26%	26%	4%
Sweden	G	AR	-	-	142	2,208	5,594	391	8,335	-	2%	30%	..
Sweden	G	FI	10,800	23,515	165	4,330	10,644	1,568	16,707	17,600	1%	30%	63%
Switzerland	G	AR	12,332	11,315	1,178	-	5,079	6,339	12,596	11,051	19%	19%	-10%
Switzerland	G	CA	-	-	-	11,012	-	-	11,012	-	0%	100%	..
Switzerland	G	FI	15,137	20,633	2,253	8,922	12,470	7,240	30,885	14,603	10%	47%	-4%

Table III.1 Applications, status determination and cases pending by country or territory of asylum, 2001

(continued)

Country or territory of asylum	T ¹	L ²	Cases pending at the beginning of the year	Applied since 1 Jan.	Decisions since 1 January					Cases pending at the end of the year	Indicators		
					Recognized	Humanitarian	Rejected	Otherw. closed	Total		Recognition rates		Change in pending cases
											Ref. status ³	Total ⁴	
Syrian Arab Rep.	U		1,326	1,774	267	-	1,506	722	2,495	605	15%	15%	-54%
Tajikistan	G		233	723	-	-	-	577	577	379	63%
Thailand	U		361	1,453	650	-	533	288	1,471	343	55%	55%	-5%
Togo	U		77	53	21	-	31	-	52	78	40%	40%	1%
Tunisia	U		12	33	-	-	2	25	27	18	0%	0%	50%
Turkey	U		5,209	5,041	2,869	-	2,114	1,091	6,074	4,176	58%	58%	-20%
Turkmenistan	U		569	658	210	-	192	334	736	491	52%	52%	-14%
Uganda	V		2,473	1,721	3,237	-	20	310	3,567	627	99%	99%	-75%
Ukraine	G		80	916	455	-	325	33	813	183	58%	58%	129%
United Arab Emirates	U		335	67	-	-	27	14	41	361	0%	0%	8%
United Kingdom	G	AR	-	74,365	8,155	-	34,440	825	43,420	-	19%	19%	..
United Kingdom	G	FI	88,600	70,850	11,065	19,785	65,505	21,765	118,315	38,800	11%	32%	-56%
United Kingdom	G	RA	-	-	1,700	1,300	6,990	-	9,990	-	17%	30%	..
United Rep. of Tanzania	G		21,420	44	25	-	108	-	133	21,331	19%	19%	0%
United States	G	EO	55,514	60,552	7,817	-	14,931	21,879	44,627	71,439	34%	34%	29%
United States	G	IN	328,820	59,432	20,487	-	26,937	21,715	69,139	324,438	43%	43%	-1%
Uruguay	U		4	12	11	-	1	3	15	1	92%	92%	-75%
Uzbekistan	U		1,248	2,129	1,582	-	256	195	2,033	1,344	86%	86%	8%
Venezuela	G		101	212	-	-	-	2	2	311	208%
Yemen	U		1,411	2,322	230	-	438	704	1,372	2,361	34%	34%	67%
Yugoslavia, FR	G		12	145	19	-	37	48	104	53	34%	34%	342%
Zambia	G		259	842	537	-	23	43	603	498	96%	96%	92%
Zimbabwe	G		79	5,290	4,584	-	19	-	4,603	766	100%	100%	870%
Total			901,559	914,855	167,551	78,053	444,597	241,509	931,903	940,226	24%	36%	4%

Notes

¹ Type of procedure: G=Government; U=UNHCR; V=Various/unknown.

² Level in the procedure: FI=First instance; AR=Administrative Review, JR=Judicial Review; CA=Cantonal regulations; EO=Executive Office of Immigration Review; IN=Immigration and Naturalization Service; NA=New applications; RA=Repeat applications; BL=Backlog procedure.

³ The number of cases recognized divided by the total number of cases recognized, allowed to remain for humanitarian reasons and rejected.

⁴ The number of cases recognized and granted humanitarian status divided by the total number of cases recognized, granted humanitarian status and rejected.

Table III.2 Applications, status determination and cases pending by origin, 2001

The origin is listed if the total number of decisions is 3,000 or more. See Table III.1 for the countries and procedures included.

Origin	Cases pending at the beginning of the year	Applied since 1 Jan.	Decisions since 1 January					Cases pending at the end of the year	Indicators		
			Recognized	Humanitarian	Rejected	Otherw. closed	Total		Recognition rates		Change in pending cases
									Ref. status ¹	Total ²	
Iraq	38,790	60,764	17,971	8,257	26,258	16,713	69,176	33,607	34%	50%	-13%
Yugoslavia, FR	48,542	40,772	3,122	13,643	30,850	17,886	65,465	50,335	7%	35%	4%
Afghanistan	27,567	66,831	18,504	13,565	9,943	18,187	60,198	29,982	44%	76%	9%
Turkey	10,181	41,331	4,810	1,351	26,485	9,847	42,492	13,301	15%	19%	31%
Islamic Rep. of Iran	20,375	23,644	6,442	1,084	14,452	12,266	34,243	13,867	29%	34%	-32%
China	15,649	33,503	6,035	270	21,538	5,890	33,733	19,947	22%	23%	27%
Sri Lanka	6,707	18,188	5,314	8,692	14,110	3,316	31,431	6,720	19%	50%	0%
Dem. Rep. of the Congo	20,166	33,348	13,398	914	8,832	2,125	25,223	28,207	58%	62%	40%
Somalia	11,395	23,932	7,233	4,286	6,269	5,218	22,986	15,854	41%	65%	39%
Russian Federation	10,543	25,252	2,745	690	10,678	5,464	19,560	14,670	19%	24%	39%
India	9,902	17,832	1,785	103	9,886	5,894	17,668	9,832	15%	16%	-1%
Bosnia and Herzegovina	3,720	11,737	512	2,184	10,231	3,701	16,628	3,220	4%	21%	-13%
Ethiopia	14,694	12,523	4,324	627	9,858	1,701	16,509	12,321	29%	33%	-16%
Sudan	19,064	15,731	5,697	429	7,352	3,007	16,482	19,797	42%	45%	4%
El Salvador	176,213	4,619	234	-	1,626	14,300	16,160	164,992	13%	13%	-6%
Colombia	5,462	26,379	6,689	276	6,561	2,147	15,673	15,530	49%	51%	184%
Pakistan	7,170	13,860	2,619	415	8,507	3,761	15,299	7,951	23%	26%	11%
Mexico	13,019	23,156	312	-	7,772	6,051	14,135	22,473	4%	4%	73%
Sierra Leone	7,400	13,859	1,957	2,980	4,533	3,413	12,875	9,059	21%	52%	22%
Haiti	19,737	14,720	1,687	-	9,166	1,507	12,360	21,963	16%	16%	11%
Liberia	5,511	12,836	2,378	7,568	1,523	794	12,263	6,349	21%	87%	15%
Algeria	2,240	13,097	646	240	8,539	2,640	12,034	2,725	7%	9%	22%
Nigeria	4,172	11,810	543	159	5,525	5,467	11,650	4,136	9%	11%	-1%
Armenia	5,198	11,110	1,494	258	5,911	2,717	10,375	6,040	19%	23%	16%
Romania	2,465	8,692	358	113	4,417	5,154	9,997	2,811	7%	10%	14%
Ukraine	3,066	13,051	508	152	6,788	1,964	9,400	5,887	7%	9%	92%
Senegal	914	9,073	7,402	3	1,391	584	9,380	1,242	84%	84%	36%
Angola	5,597	11,288	1,819	1,610	3,153	1,797	8,337	9,843	28%	52%	76%
Bangladesh	3,282	7,792	650	134	4,743	2,177	7,704	3,462	12%	14%	5%
Albania	2,800	8,557	1,329	273	3,945	1,588	7,129	3,956	24%	29%	41%
Viet Nam	1,573	8,553	372	44	5,100	1,157	6,673	3,476	7%	8%	121%
Guatemala	101,737	3,586	325	3	1,613	4,703	6,644	99,537	17%	17%	-2%
Rwanda	24,855	6,667	3,844	471	1,590	684	6,584	25,879	65%	73%	4%
Mali	231	5,881	59	-	5,644	128	5,831	368	1%	1%	59%
Syrian Arab Rep.	3,598	5,162	605	350	3,246	1,572	5,772	3,780	14%	23%	5%
Azerbaijan	5,160	4,810	877	184	2,364	1,862	5,287	5,630	26%	31%	9%
Indonesia	3,907	5,060	662	13	3,183	462	4,320	4,770	17%	17%	22%
Cuba	3,344	4,288	390	14	506	3,148	4,058	3,533	43%	44%	6%
Myanmar	1,068	3,705	2,207	64	1,050	359	3,680	1,139	66%	68%	7%
Burundi	3,687	3,515	2,014	334	782	440	3,568	3,897	64%	75%	6%
Togo	2,101	3,232	524	37	2,174	694	3,426	1,970	19%	21%	-6%
Mauritania	1,792	5,377	538	14	2,263	426	3,241	2,568	19%	20%	43%
FYR Macedonia	475	7,267	46	52	1,541	1,469	3,108	2,132	3%	6%	349%

Notes¹ The number of cases recognized divided by the total number of cases recognized, allowed to remain for humanitarian reasons and rejected.² Number of cases recognized and granted humanitarian status divided by the total number of cases recognized, granted humanitarian status and rejected.