# Refugees & Asylum-seekers in Distress at Sea – how best to respond?

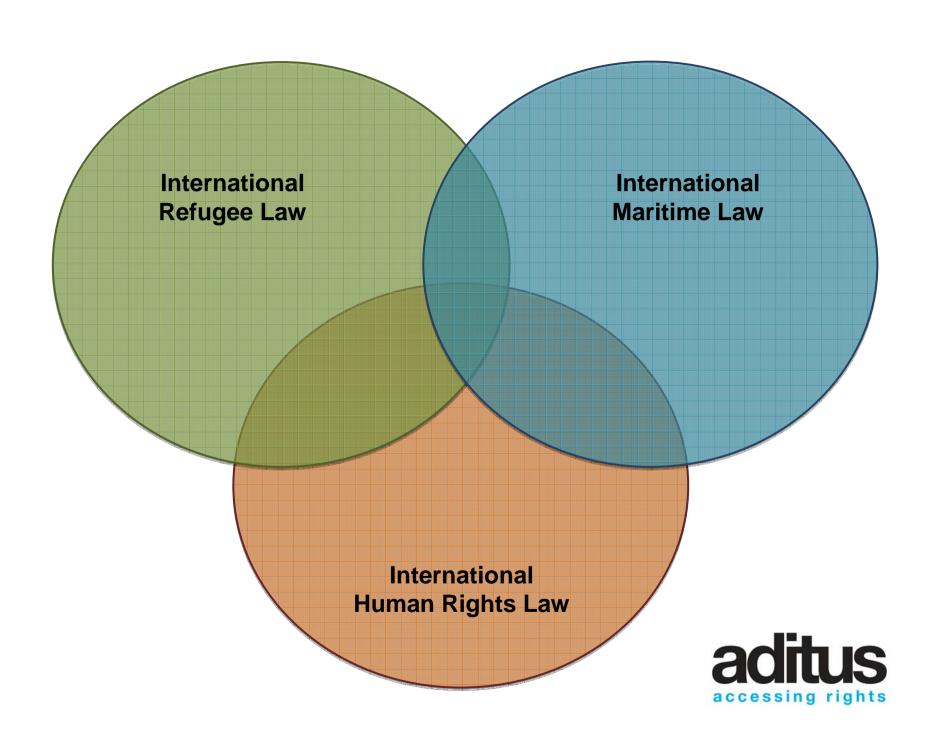
Expert Meeting in Djibouti, 8 – 10 November 2011

Dr. Neil Falzon Visiting Assistant Lecturer (University of Malta) Director (aditus)



### Overview & Aim

- Highlight the intersectionality of 3 areas of international law:
  - Refugee
  - Maritime
  - Human Rights
- Zoom in on specific elements falling with this intersectionality
- Aim to provide objective technical input as a basis for the discussions



## Intersectionality

- Specific legal instruments, including:
  - Geneva Convention, Cartagena Declaration, OAU
     Convention;
  - UNCLOS, SAR, SOLAS, Palermo Protocols;
  - ICCPR, ICESCR, CAT, CRC, CEDAW, Regional.
- Set of core principles, such as:
  - Non-refoulement, refugee definition, solidarity;
  - Maritime jurisdiction, duty to rescue, cooperation;
  - Jurisdiction, non-discrimination, human dignity.



## Intersectionality (contd.)

- Various national stakeholders, such as:
  - Asylum authorities, immigration police, interministerial committees (education...employment);
  - Military, Rescue Coordination Centres;
  - National human rights institutions, specific ministries.
- Range of regional/international actors, including:
  - UNHCR, AU, OAS;
  - IMO, Frontex;
  - UN Committees, regional courts/commissions.



## Specific Elements

What *relevant* legal & operational areas are mostly affected by the intersectionality of the three areas?

Non-refoulement, & other human rights

Duties to rescue/coordinate rescue & disembark at a

place of safety

International & regional cooperation



## Non-refoulement

"No Contracting State shall expel or return a refugee in any manner whatsoever to the frontiers of territories where his life or freedom would be threatened on account of his race, religion, nationality, membership of a particular group of political opinion."

Art. 33(1) Geneva Conv.

Also seen in Art. 2(3) OAU Convention; Concl. 5 Cartagena Decl.; Art. 3 CAT.

Read into Art. 7 ICCPR; Art. 3 ECHR.

Possibly norm of customary international law.



## Non-refoulement @ sea

#### Concrete challenges

- 'Non-refoulement does not apply on the high seas'
- 'The rescued persons are economic migrants'
- 'The port of disembarkation is safe'

#### **Discussion Input**

- 'Non-refoulement applies beyond physical territory'
- 'Mixed flows of persons could also include refugees'
- 'Safety also includes human rights considerations, & indirect refoulement'



## Non-refoulement extra-territoriality

- Territorial waters are straightforward;
- Language & spirit of Art. 33 Geneva Convention ('protection vacuum' concept);
- 'Effective control' triggers de facto state jurisdiction
- Other human rights considerations, including:
  - Access to an effective remedy;
  - Excessive use of force.



## Mixed flows of persons

- Persons travelling for various reasons:
  - Fleeing persecution or war;
  - For better economic opportunities;
  - Victims of trafficking;
  - Family reunification;
  - Combination of any of the above; & shifting forces
- Malta 2009 figures: 1,475...1,308 (89%)...852 (58%)
- Early identification is key



## 'Place of safety'

- Once persons are rescued, obligation to disembark at a 'place of safety'
- SAR & SOLAS do not define 'safety'
  - Get to land, provide medical assistance, get food
     & water, provide appropriate shelter; but also
  - Can they seek asylum post-disembarkation, or will they be immediately returned home?
  - How will they be treated once disembarked?
     Detention, torture & inhuman treatment...

## Other possible challenges...

- Neighbouring SAR states do not fulfil their SAR obligations;
- Difficulties in identifying appropriate place for disembarkation
  - Lack of legal clarity & certainty
  - Disembarkation = asylum procedure, refugee rights, durable solutions
- Impact on private vessels



## Intersectionality input

Appreciation of legal norms applicable in any given situation

Increased coordination of national stakeholders

Effective dialogue & operational cooperation amongst neighbouring SAR states

Active involvement of various 'thematic' actors

Strong commitment to the core principles

