REQUEST FOR PROPOSAL:  No. UKRK/RFP/2023-016
FOR THE ESTABLISHMENT OF A FRAME AGREEMENT(S) FOR THE SUPPLY, DELIVERY AND INSTALLATION OF WINDOWS and DOORS

CLOSING DATE AND TIME: 03 November 2023– 23:59 HRS Kyiv time

INTRODUCTION TO UNHCR

The Office of the United Nations High Commissioner for Refugees was established on December 14, 1950, by the United Nations General Assembly. The agency is mandated to lead and co-ordinate international action to protect refugees and resolve refugee problems worldwide. Its primary purpose is to safeguard the rights and well-being of refugees. It also has a mandate to help stateless people.

In more than seven decades, the agency has helped tens of millions of people restart their lives. Today, a staff of some 17,000 people in more than 135 countries continues to help about 79.5 million persons. To help and protect some of the world’s most vulnerable people in so many places and types of environment, UNHCR must purchase goods and services worldwide. For further information on UNHCR, its mandate and operations please see http://www.unhcr.org.

UNHCR ACTIVITITES IN UKRAINE

Since the start of the armed conflict in Ukraine, approximately 7.1 million Ukrainians have been internally displaced, while the number of refugees fleeing into neighboring countries has reached a staggering 5.2 million. UNHCR intends to rebuild houses in Kyiv Oblast and elsewhere, as well as to repair damaged houses.

This tender refers to UNHCR’s ongoing humanitarian assistance in support of the conflict affected population who have been displaced in various locations within Ukraine.

1. REQUIREMENTS

The Office of the United Nations High Commissioner for Refugees (UNHCR), Representation in Ukraine (referred to hereinafter as UNHCR), invites qualified companies which are registered with the Government of Ukraine to make a firm proposal for the supply, delivery and installation of Windows and Doors in three (3) LOTs, divided into seven (7) oblasts in total (hereinafter referred to as “Construction Services”).

UNHCR will conduct an evaluation on a per LOT basis. Interested Bidders may bid for any LOT(s) or for all three (3) LOTs. Please note a partial offer for any LOT will not be accepted.

UNHCR reserves the right to award Frame Agreements as follows:

Lot 1: UNHCR may award up to four (4) contractors simultaneously due to the high volume. In case four (4) suppliers are awarded, an indicative percentage distribution of the total volume could be: 30% - 27% - 23% - 20%, where the most responsive bidder would be awarded 30% of the total business volume, and sequentially less until the least responsive contractor being awarded with 20% of the total business volume. The latter percentage distribution is for indication only, and the actual percentage distribution will depend on the actual outcome of the tender in accordance with best value for money principle.
Lot 2 and Lot 3: UNHCR reserves the right to award a primary and a back-up supplier (or several back-up suppliers), where, if the primary supplier is unable to fulfil the requirement of a purchase order resulting from the Frame Agreement, such order will be cancelled with a new purchase order issued to the back-up supplier for the provision of the required goods and services.

UNHCR may award Frame Agreement(s) with an initial duration of one (1) year, potentially extendable for a further period of one (1) year. The successful bidders will be requested to maintain their quoted prices for the entire duration of the Frame Agreement(s).

The estimated volume requirements of UNHCR attributed to each of the corresponding LOTs are contained in Annexes A (TOR), B (BOQ) and C (Technical Proposal Form – Technical Evaluation Methodology).

Please note that the quantities have been stated in order to enable bidders to have an indication of the projected requirements. This does not represent a commitment that UNHCR will purchase a minimum quantity of the works. Quantities may vary and will depend on the actual requirements and funds available regulated by issuance of individual Purchase Orders against the Frame Agreement.

**IMPORTANT:** Terms of Reference (ToR) and Bill of Quantities (BOQ) along with specifications are detailed in Annexes A, B, B1 and B2 of this document respectively.

It is strongly recommended that this Request for Proposal and its annexes be read thoroughly. Failure to observe the procedures laid out herein may result in disqualification from the evaluation process.

Sub-Contracting: Please take careful note of article 7 of the attached General Terms and Conditions (Annex E).

Note: this document is not to be construed in any way as an offer to contract with your firm.

2. **BIDDING INFORMATION:**

2.1. **RFP DOCUMENTS**

The following annexes form integral part of this Request for Proposal:

- Annex A: Terms of Reference (ToR)
- Annex B: Financial Proposal Form (BOQ) for all three (3) LOTs.
- Annex B1: Technical Specifications of Windows and Doors
- Annex D: Vendor Registration Form.
- Annex F: Documentation procedures on tax reporting (for companies with VAT payer status).

2.2 **ACKNOWLEDGMENT**

You are requested to inform us of the receipt of this RFP by return e-mail to ukrkipro@unhcr.org as to:
- Your confirmation of receipt of this Request for Proposal No. UKRKI/RFP/2023-016
- Whether or not you will be submitting a bid

**IMPORTANT:**
Failure to send the above-requested information may result in the disqualification of your proposal from further evaluation.

2.3 **REQUESTS FOR CLARIFICATION**

Bidders are required to submit any request for clarification or any question in respect of this RFP by e-mail to ukrkipro@unhcr.org with the Subject reading: *Inquiry: RFP No. UKRKI/RFP/2023-016. The deadline for receipt of written inquiries/questions is 23:59 hours Ukraine time on 24 October 2023.* Bidders are requested to keep all questions concise.

UNHCR will compile the questions received and will reply via email to all the bidders that previously expressed their interest in the subject tender, as well as will publish the answers on UNHCR Ukraine and Zakupki webpages.

**Bidders should use extreme vigilance to ensure that their proposal is submitted on time. UNHCR will not be responsible for, and will not take into consideration, any bids that are late due to a server failure or power outage, either locally or globally.**

**IMPORTANT:**
Please note that Bid Submissions are **not** to be sent to the e-mail address above.

**PRE-BID CONFERENCE**

An online supplier pre-bid conference (on MS Teams) will be arranged on **17 October 2023** between **10:00 hrs and 12:00 hrs.** A maximum of two representatives per company are allowed. Names and contact details of the company’s representatives must be provided in writing latest by **16 October 2023 13:00 Ukraine time by e-mail to: ukrkipro@unhcr.org**

Changes in participating staff need to be shared in advance, otherwise, they will not be able to participate.

Participation in the pre-bid conference shall be at the bidders’ own expenses. There will be no reimbursement from UNHCR. UNHCR will share the link with interested bidders to attend the meeting on Microsoft Teams.

Participation in the pre-bid conference is **STRONGLY RECOMMENDED** given the complexity of the requirements. After the event, a Questions & Answers document will be prepared and distributed among all interested bidders to reiterate responses to the voiced question as well as to respond to the queries which could not be answered during the event.

2.4 **YOUR PROPOSAL**

**IMPORTANT:**
Cancellation of Solicitation: UNHCR reserves the right to cancel a Solicitation at any stage of the procurement process prior to final notice of award of a contract.
Your proposal shall be prepared in English or Ukrainian languages.

Please submit your proposal using the Annexes provided. Proposals not conforming to the requested formats may not be taken into consideration.

**IMPORTANT:**
Inclusion of copies of your proposal with any correspondence sent directly to the attention of the responsible buyer or any other UNHCR staff other than the submission e-mail address will result in disqualification of the proposal.

Please send your proposal directly to the address provided in the “Submission of Bid” section 2.6) of this RFP.

Your proposal shall comprise the following two sets of documents:

- Technical proposal
- Financial proposal

**2.4.1 Content of the TECHNICAL PROPOSAL**

**IMPORTANT:**
No pricing information (i.e., no price offer) should be included in the Technical Proposal. Failure to comply may risk disqualification. The technical proposal should contain all information required.

The Terms of Reference (TOR) of the works requested by UNHCR and the Bill of Quantities (BOQ) can be found respectively in Annex A and Annex B attached hereto.

Bidders are to submit a separate technical and financial offer for each LOT. In each submitted file/zip folder, the LOT number (i.e., LOT 1, or LOT 2, or LOT3) shall be clearly specified in the name of the file/zip folder.

Your Technical Offer should be concisely presented and structured in the following order to include, but not necessarily be limited to the following information:

- **Description of the company and the company’s qualifications.**
  A description of your company with the following documents: company profile, registration certificate and income statements and balance sheets as well as indicating turnover of company:
  - Year founded;
  - If multi location company, specify headquarters location;
  - Total number of clients.
  - Company Licenses
  - Describe the company profile presenting the background, structure, organigram, and any other relevant information

  Include any information that will facilitate our evaluation of your company’s substantive reliability, financial and managerial capacity to provide the contracted works.

- **Understanding of the requirements for the works, proposed approach, solutions, methodology and outputs.**
  Any comments or suggestions on the TOR and the specifications of the materials, as well as your detailed description of the manner in which your company would respond to the requirements:
- A description of your organization’s capacity to provide the works;
- Proof that your company has its own manufacturing line/equipment.
- Compliance with the requirements stated in Annexes A, and B, B1 and B2 (TOR and BOQ, technical specifications of windows, doors and slopes).

- **Proposed personnel to carry out the assignment.**

- **Vendor Registration Form.**
  If your company is not already registered with UNHCR, you should complete, sign and submit with your technical proposal the Vendor Registration Form (Annex D).

- **UNHCR General Conditions of Contract for Civil Works.**
  Your Technical Offer should contain your acknowledgement of the UNHCR General Conditions of Contract for Civil Works by signing Annex E.

- **Understanding of the requirements for services, proposed approach, solutions and methodology including workplan.**

  **Project Scenario per Lot:**
  - **LOT1** - Installation (measurement, manufacturing, installation, plastering) of 15 000 m2 of PVC items (windows/doors) in Kharkiv oblast - 70% rural area/30% urban area.
  - **LOT2** - Installation (measurement, manufacturing, installation, plastering) of 15 000 m2 of PVC items (windows/doors) in Zaporizhzhya oblast - 70% rural area/30% urban area.
  - **LOT3** - Installation (measurement, manufacturing, installation, plastering) of 15 000 m2 of PVC items (windows/doors) in Kherson oblast - 70% rural area/30% urban area.

  For each Lot offered, bidders shall indicate detailed planned lead time for project implementation, including milestones (for measurement, manufacturing, installation, slopes restauration).

- **Insurance of Works.**
  The awarded company shall be required to comply with all national safety standards upon signing the contract.

- **UN Supplier’s Code of Conduct.**
  Your technical offer should contain your acknowledgement of the UN Supplier’s code of conduct by signing Annex G.

- **Key Financial data**
  Bidders shall attach income statements and balance sheets for the last three (3) years (2021, 2022, and if available 2023 year-to-date, as well as indicating turnover of company.

2.4.2 **Content of the FINANCIAL PROPOSAL**

Your separate Financial Offer must contain an overall proposal in US Dollars.

However, all Purchase Orders will be issued, and all payments will be made in hryvnya (UAH) at the United Nations rate of exchange in effect on the date the Purchase Order was issued by UNHCR.
The United Nations rate of exchange can be found here: https://treasury.un.org/operationalrates/OperationalRates.php.

The financial offer must cover all the services and construction materials to be provided (price “all inclusive”).

The financial offer is to be made using Annex B (the BOQ) for each LOT. Bids that have a different price structure may not be accepted. The financial offer should be provided in both PDF and Excel format. Failure to comply will lead to disqualification.

The quoted prices must remain unchanged for the duration of the Frame Agreement (1+ 1 years).

UNHCR is exempt from all direct taxes and customs duties. In this regard, price has to be given without VAT. However, if you are a VAT payer in Ukraine, you are requested to indicate clearly and separately the VAT component in your financial offer.

You are requested to hold your proposal valid for ninety (90) calendar days from the deadline for submission. UNHCR will make its best effort to select a company within this period. UNHCR’s standard payment terms are within 30 calendar days after satisfactory implementation of the projects and receipt of documents in order.

The cost of preparing a bid and of negotiating a contract, including any related travel, is not reimbursable nor can it be included as a direct cost of the assignment. Any activity undertaken or expenses incurred in preparation of a contract before an actual contract is signed shall be borne by the Bidder. An advance notice or information of award is not to be considered as a contract.

UNHCR will not provide any advance payments or payments by letter of credit.

The standard payment terms are by bank transfer net thirty (30) days after acceptance of contractor’s invoice and acceptance by UNHCR of the works.

2.5 BID EVALUATION:

Each proposal from a bidder will be considered separately and independently. Bidders shall submit a complete proposal for each solicitation in which they wish to participate. References to previous or on-going proposals will not be considered. Award of a previous contract with UNHCR will not be considered in itself as a preference or guarantee for the award of future solicitations. Contract will be awarded to the vendor scoring highest on the overall combined technical and financial scores.

2.5.1 Supplier Registration:

The qualified supplier(s) will be added to the Vendor Database after validation of suitability based on the submitted Vendor Registration Form and supporting documents. The validation involves consideration of several factors such as:

- Financial standing;
- Core business;
- Track record;
- Contract capacity.

Failure to provide the above-mentioned documentation might lead to disqualification.
2.5.2 Technical and Financial evaluation:

For the award of this project, UNHCR has established two-stage evaluation criteria which govern the selection of proposals received. The evaluation of proposals will be done on a technical and financial basis according to a point scoring system. The final proposal points are the sum of the technical and financial points. The percentage assigned to each component is determined in advance as follows:

The Technical proposal will be evaluated using inter alia the following criteria and percentage distribution: 60% from the total score:

<table>
<thead>
<tr>
<th>#</th>
<th>MANDATORY PRE-QUALIFICATION CRITERIA</th>
<th>Pass/Fail</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Certificate of registration with the Government of Ukraine, with foundation year min 3 years before the tender deadline</td>
<td>MANDATORY REQUIREMENT</td>
</tr>
<tr>
<td>2</td>
<td>Document(s) of the company registration status with the tax services in Ukraine (i.e. general tax conditions, unified tax payer, VAT)</td>
<td>MANDATORY REQUIREMENT</td>
</tr>
<tr>
<td>3</td>
<td>Acceptance of UNHCR Conditions of Contract for Civil Works (signed/stamped Annex E).</td>
<td>MANDATORY REQUIREMENT</td>
</tr>
<tr>
<td>4</td>
<td>Acceptance of UNHCR Supplier Code of Conduct (Annex G)</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Acceptance of UNHCR payment terms as detailed in Annex A and Annex E.</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Statement on bidder’s own window manufacturing/assembling line/equipment.</td>
<td>MANDATORY REQUIREMENT (in case the bidder confirms, the manufacturing line will be assessed during site visit by UNHCR as part of the Technical Evaluation)</td>
</tr>
<tr>
<td>7</td>
<td>Submission of Balance Sheets for 2021, 2022 and 2023-to-date if available, also clearly indicating the turnover of the company during these years (minimum 2021, and 2022)</td>
<td>MANDATORY REQUIREMENT</td>
</tr>
</tbody>
</table>

Only bidders scoring ‘Pass’ on all above seven (7) Pre-Qualification Criteria will be further considered, as per the below technical scoring mechanism (further detailed in Annex C):

<table>
<thead>
<tr>
<th>#</th>
<th>TECHNICAL EVALUATION CRITERIA POINTS</th>
<th>Maximum Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Company’s Capacity</td>
<td>240</td>
</tr>
<tr>
<td>2</td>
<td>Methodology, approach and workplan, reporting</td>
<td>240</td>
</tr>
<tr>
<td>TOTAL</td>
<td></td>
<td>480</td>
</tr>
</tbody>
</table>

The cut-off points for submissions to be considered as technically compliant will be a minimum of 288 points out of the maximum 480 points available. After the evaluation, this will be converted out of 60% allocated to the technical proposal.

Site visit: UNHCR shall visit the production facility of all the bidders that passed the above minimum pass mark (equal or higher than 288 points), to assess the capacity of the bidder as well to verify the correctness of the information stated in the bidders’ technical proposal. The site visit will be undertaken by the member(s) of the Technical Evaluation Committee accompanied by the procurement function, and the site visit will be considered part of the technical evaluation process.
The findings of the site visit will prevail over the bidders’ technical proposal. The site visit will merely focus on the information required in the tender and submitted by the bidders. Bidders that refuse the site visit will be eliminated from the evaluation process.

**IMPORTANT:**
All suppliers must have bank account capable of receiving Ukrainian Hryvna (UAH), and must have legal entity registered in compliance with the applicable legal framework of the state. Failure to provide evidence of the above two criteria may result in disqualification of your proposal from further evaluation.

A financial proposal will only be considered if the technical part of the supplier’s proposal passed a minimum of 288 points out of 480 points allocated to technical proposal, is accepted by UNHCR as complying with the technical requirements listed in RFP documentation.

The Financial Proposal will use the following percentage distribution: **40%** from the total score.

The maximum number of points will be allotted to the lowest price proposal that is opened and compared among those technically compliant firms. All other price offers will receive points in inverse proportion to the lowest price, e.g., \[
\text{points for other supplier’s Price Component} = \frac{\text{[lowest Price Component]} \times [100]}{\text{[total price component]}} \times 40\%
\]

The financial component will be evaluated only for those suppliers that pass the technical evaluation.

The award will be made to the most responsive bidder(s), reaching the highest overall (technical + financial) score.

**Clarifications of Proposals:**
To assist in the examination, evaluation, and comparison of proposals UNHCR may at its discretion ask the bidder for clarification about the content of the proposal. The request for clarification and the response shall be in writing and no change in price or substance of the proposal shall be sought, offered, or accepted. All such queries with the vendors will be made by the Procurement Function.

### 2.6 SUBMISSION OF BID:

Bids should be submitted by e-mail and all attachments should be in PDF format. (copies of the PDF format documents may, as an addition, be included in Excel or other formats).

**Bids must be sent by e-mail ONLY to:** UNHCR-UKR-tendercommittee@unhcr.org

**IMPORTANT:**
The technical proposal and financial proposal are to be **sent in separate emails**. Failure to do so will result in disqualification.

**Deadline for submission of proposals:** 03 November 2023 – 23:59 HRS Kyiv time

**IMPORTANT:**
Any bid received after this date or sent to another UNHCR address may be rejected. UNHCR may, at its discretion, extend the deadline for the submission of bids, by notifying all prospective bidders simultaneously.

It is your responsibility to verify that all e-mails have been received properly before the deadline. Please be aware of the fact that the e-mail policy employed by UNHCR limits the size of attachments to a maximum of **20 Mb** so it may be necessary to send more than one e-mail for the whole submission.
Please indicate in e-mail subject field:
- Bid Reference: UKRKI/RFP/2023-016
- Name of your firm with the title of the attachment
- Number of e-mails that are sent (example: 1/3, 2/3, 3/4).
- Whether it is part of the technical and financial bid
- The LOT number (Lot 1 or Lot 2 or Lot 3)

For example:
UKRKI/RFP/2023-016 Company ABC – Lot 1 - Technical (email 1 of 3)
UKRKI/RFP/2023-016 Company ABC – Lot 2 - Financial (email 2 of 2)

Bidders are to submit a separate file or zip folder (in case of several files) for each of the ten (10) technical assessment criteria from 1.1 to 3.1 as per Annex C, naming all files as per the serial number of the assessment criterion.

E.g., bidder is bidding for LOT 1, assessment criterion 1.2 "Document(s) of the company registration status with the tax services in Ukraine (i.e., general tax conditions, unified tax payer, VAT)", bidder is to submit a zip folder named "LOT 1, 1.2", with the submitted pdf files for each official tax registration document, etc.

UNHCR will not be responsible for locating or securing any information that is not identified in the bid. Accordingly, to ensure that sufficient information is available, the bidder shall furnish, as part of the bid, any descriptive material such as extracts, descriptions, and other necessary information it deems would enhance the comprehension of its proposal.

2.7 BID ACCEPTANCE:

UNHCR reserves the right to accept the whole or part of your bid or allow split or partial awards on this project.

UNHCR may at its discretion increase or decrease the proposed content when awarding the contract and would not expect a significant variation of the rate submitted. Any such increase or decrease in the contract duration would be negotiated with the successful bidder as part of the finalization of the Purchase Orders for Services.

UNHCR may, at its discretion, extend the deadline for the submission of bids, by notifying all prospective suppliers in writing. The extension of the deadline may accompany a modification of the solicitation documents prepared by UNHCR at its own initiative or in response to a clarification requested by a prospective supplier.

Please note that UNHCR is not bound to select any of the firms’ submitting bids and does not bind itself in any way to select the firm offering the lowest price. Furthermore, the contract will be awarded to the bid considered most responsive to the needs, as well as conforming to UNHCR’s general principles, including economy and efficiency and best value for money.

2.8 CURRENCY AND PAYMENT TERMS FOR PURCHASE ORDERS

Payment will be made in hryvnya (UAH) at the United Nations rate of exchange in effect on the date Purchase Order was issued following the link https://treasury.un.org/operationalrates/OperationalRates.php.

Currently the United Nations exchange rate between UAH and USD is lower than the market rate, therefore bidders are requested to carefully consider this in their price offer, which prices shall be kept fixed for the entire duration of the frame agreement.
2.9 **UNHCR GENERAL CONDITIONS OF CONTRACTS FOR THE PROVISION OF CIVIL WORKS**

Please note that the General Conditions of Contracts for Civil Works (*Annex E*) will be strictly adhered to for the purpose of any future contract. The Bidder must confirm the acceptance of these terms and conditions in writing.

2.10 **ZERO TOLERANCE POLICY**

Please note that UNHCR strictly follows zero tolerance policy and as such advises its suppliers not to offer any gift, favor, hospitality, etc. to its staff.

2.11 **THE UN GLOBAL COMPACT**

UN Global Compact and other factors: UNHCR supports the UN Global Compact Initiative put forward on 31 January 1999 by UN Secretary-General Kofi Annan that would bring companies together with UN agencies, labor, and civil society to support ten principles in the areas of the human rights, labor, environment, and anti-corruption. We encourage our suppliers to sign up with the UN Global Compact Initiative.

UNHCR encourages bidders to sign up to this initiative at:

https://www.unglobalcompact.org/

**UNHCR’S TENDER ARE AVAILABLE FREE OF CHARGE.**

..............................................................

Kristof Horvath
Supply Officer
UNHCR Ukraine